

Review

The Roles of Inflammation, Oxidative Stress and the Gut-Brain Axis in Treatment Refractory Depression in Youth: Complementary and Integrative Medicine Interventions

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Abstract

Teen depression and suicide rates have risen despite conventional treatments. This article reviews adjunctive interventions that may improve outcomes. A search of the National Library of Medicine database used tailored searches with combinations of specific terms. Modern lifestyle is associated with increased inflammation and pro-inflammatory cytokines leading to, for instance, hyperactivation of the hypothalamic-pituitary-adrenal axis, which promotes depression. Inflammation also increases oxidative stress, leading to mitochondrial dysfunction, also associated with depression. Diets with less probiotic-containing fermented foods change the microbiome and decrease the bio-availability of mood-regulating B vitamins crucial to neurotransmitter production. Vitamin D deficiency allows increased pro-inflammatory cytokines



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and disrupts mitochondrial function and monoamine production. Deficiencies/insufficiencies of magnesium, Vitamin D, and B vitamins correlate with depression severity. Deficiencies of the folate and methylation cycles may lead to treatment-resistant depression. Imbalance of omega-6 and omega-3 fatty acid intake allows more pro-inflammatory eicosanoids (prostaglandins, thromboxanes, leukotrienes) from omega-6 than anti-inflammatory eicosanoids from omega-3. Refractory youth depression may be linked to abnormalities in functional biological systems, with excessive inflammation, oxidative stress, and gut-brain issues. Mediterranean diet, vitamins/minerals, omega-3 fatty acids, methyl donors, meditation, and exercise are worth considering as adjunctive treatments. More research is needed.

Keywords

Complimentary and Integrative psychiatry; depression; functional medicine; omega 3 fatty acids; adolescents; inflammation; oxidative stress; gut-brain axis; hypothalamic-pituitary-adrenal axis; diet; vitamin deficiencies

1. Introduction

Youth depression (ages 12-20) increased 37% from 2004 to 2014 [1]. This coincided with a nine-fold increase in suicidal ideation in adolescents [2]. Suicide rates among females has increased by 65% since 2010 [3]. Some youth are refractory to antidepressants [4, 5]. Functional Medicine (which analyzes abnormalities in biologic functional systems) and Complementary and Integrative Medicine (CIM) interventions are being studied to address reasons for and interventions for treatment-refractory depression. Treatment refractory depression here is defined as any depression for which conventional/traditional treatments have not been successful.

Inflammation disrupts multiple pathways relevant to the risk of developing depression. Inflammation in the gut during stress, for instance, increases production of pro-inflammatory cytokines which stimulates the vagal nerve. The subsequent effect is that the hypothalamic-pituitary-adrenal (HPA) axis is upregulated, with adrenaline and cortisol increases. Sustained increases in cortisol and pro-inflammatory cytokines have been associated with depression [6]. Pro-inflammatory cytokines interfere with the indoleamine 2,3-dioxygenase (IDO) pathway, which decreases neurotransmitter production and disrupts microglia, leading to depressive symptoms [7]. Inflammation can also lead to oxidative stress which depletes glutathione needed to neutralize reactive oxygen species (ROS). The overabundance of ROS leads to mitochondrial dysfunction. Damage to the mitochondrial electron transport chain has been associated with depression and bipolar disorder [8]. Inflammation can also disrupt the folate and methylation cycles by decreasing the absorption of vitamin B12. B12 also plays a major role in neurotransmitter production (Figure 1).

Inflammation has been linked to obesity and diet. High-processed- fat and high-sugar foods cause dysbiosis or changes in the gut that cause inflammation and intestinal permeability that leads to insulin resistance and weight gain [9]. Processed foods, sugary beverages, and sedentary habits leading to

weight gain are associated with a higher incidence of depression [10]. One study found that adolescents who were depressed had a 70% increased risk of being obese and obese adolescents had a 40% increased risk of being depressed [11].

Other modern factors that may relate to disruption of the microbiome and production of pro-inflammatory cytokines include pesticides [12], increased stress [6], and antibiotics [13].

Functional Medicine has begun to recognize abnormalities in biological systems that may prevent full recovery from depression. Complementary and Integrative Medicine (CIM) interventions to address these abnormalities may be used adjunctively.

Background Physiology/Immunology of Refractory Depression (Figure 1):

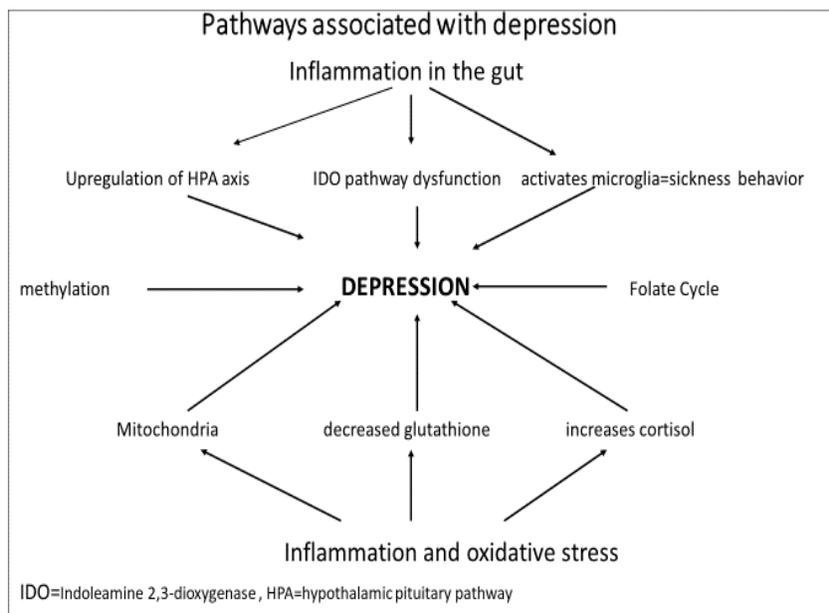


Figure 1

1.1 Gut-Brain Axis

A number of articles document dysbiosis-caused production of pro-inflammatory cytokines in the gut leading to psychiatric symptoms associated with depression [6, 14, 15]. In one study norepinephrine (induced by stress) caused an increase in *E. coli* [16] and decreases in *Lactobacillus* and *Bifidobacterium*, leading to increased lipopolysaccharides (LPS) [14]. LPS stimulate lymphocyte differentiation, leading to production of pro-inflammatory interleukin -beta (IL- β), interleukin-6 (IL-6), and tumor necrosis factor alpha (TNF α) [17]. TNF α compromises mucosal integrity by promoting disintegration of tight-junction proteins, like occludin, in the gut wall. Pro-inflammatory cytokines also decrease short chain fatty acids (SCFA), like butyrate, acetate and propionate, responsible for maintaining gut integrity [15, 18]. Hence dysbiosis can lead to “leaky gut” [6, 7, 15, 18]. Leaky gut leads to a corresponding breakdown of the blood-brain barrier, which allows inflammatory cytokines to enter the brain. Leaky gut can increase the risk for developing depressive symptoms in three ways:

First, IL-6 and TNF α stimulate the afferent fibers of the vagal nerve, which in turn stimulates the hypothalamic-pituitary-adrenal (HPA) axis. Normally this would cause a rise in norepinephrine and cortisol and cortisol would signal the HPA axis to normalize. During chronic stress with dysbiosis, the normal negative feedback loop from glucocorticoid is impaired [15, 18]. The release of CRF from the hypothalamus is further potentiated by the circulating pro-inflammatory cytokines. Hence, the HPA axis becomes hyperactive, a frequent finding in patients with depression (Figure 1). Pro-inflammatory cytokines have been associated with anhedonia in adolescents [19]. In a study where adolescents collected saliva at waking and 30-minutes past waking for 3 days, greater cortisol awakening response (CAR) predicted greater depressive symptoms, and interacted with acute interpersonal stress in predicting depressive symptoms [20].

Second, “Sickness Behavior” (depressive symptoms) is associated with activation of microglia. Microglia can be activated by cytokines produced by macrophages and monocytes that cross the blood-brain barrier, by increased levels of glutamate following acute stress exposure [21], and by increased gut LPS stimulating the afferent vagal nerve [22]. In turn, excess microglia stimulation can lead to excess cytokines (IL-beta and TNF alpha) which impair synaptic plasticity. The result is neuronal dysfunction with neuro-vegetative symptoms similar to depression (Figure 1) [23].

Third, increased proinflammatory cytokines activate Indoleamine 2,3-dioxygenase (IDO). IDO and its subsequent enzyme kynurenine monooxygenase divert tryptophan from serotonin production towards kynurenine, 3-hydroxykynurenine and subsequent quinolinic acid, a strong agonist of the glutamatergic N-methyl-d-aspartate receptor, which has been linked to depression. In addition, all three of these aforementioned substances are associated with oxidative damage, inflammation, mitochondrial dysfunction, neurotoxicity, and lowered neuroplasticity [24]. Activation of IDO, measured by kynurenine-to-tryptophan ratio, correlates with severity of depressive symptoms [25] (Figures 1 and Figure 2).

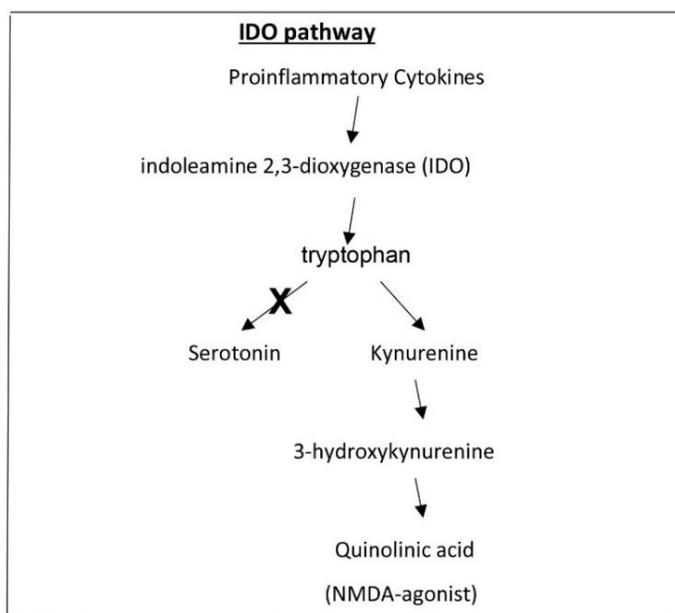
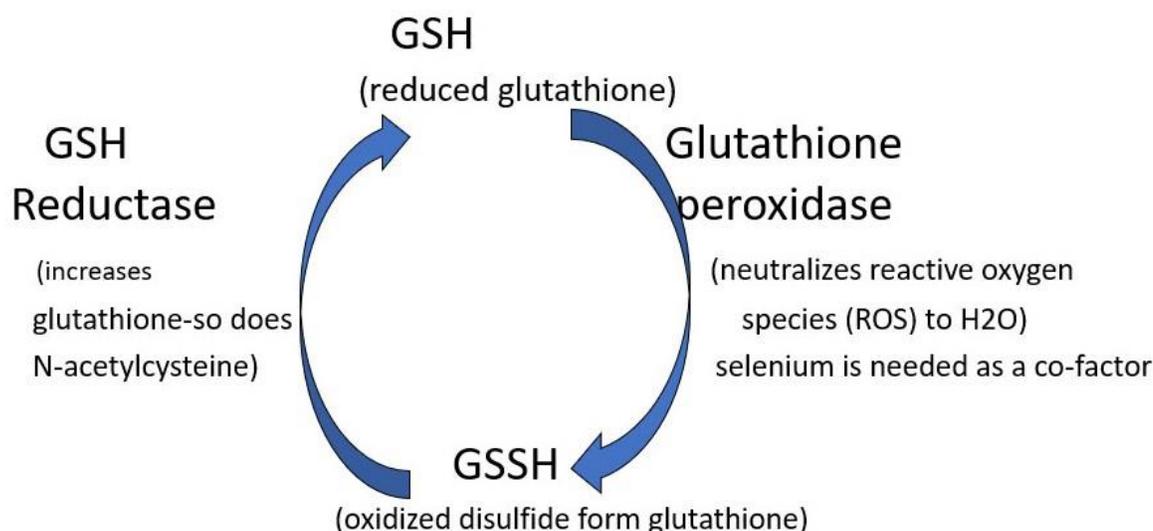


Figure 2

1.2 Inflammation, Oxidative Stress and Glutathione

Inflammation or production of inflammatory cytokines can lead to oxidative stress. Oxidative stress involves the formation of Reactive Oxygen Species (ROS) or free radicals. Mitochondria can normally neutralize these free radicals [26]. However, when prolonged inflammation occurs, as in active mania, the antioxidant glutathione, is decreased resulting in tissue damage [27]. Reduced glutathione (GSH) is sacrificed by glutathione peroxidase to the oxidized disulfide form (GSSH) to neutralize ROS (See Figures 1 and Figure 3.) Mitochondrial dysfunction (Figure 1) results when the mitochondria are overwhelmed with the production of ROS and this results in damage to the mitochondrial electron transport chain, which has been associated with depression and bipolar disorder [28].

Glutathione Conjugation



- Anti-oxidant molecules include CoQ10, alpha lipoic acid and primarily glutathione (increased with NAC) (Salim, 2015, Vavakova, 2015)

Figure 3

1.3 B12 In the Folate and Methylation Cycles and the Effect of MTHFR Variations; Role of Gut Inflammation

Inflammation in the gut can prevent the absorption of vitamin B12 and folate [29, 30]. This can lead to a corresponding decrease in S-adenosylmethionine (SAME) and increased homocysteine (Figure 3). High homocysteine levels have been associated with depression [31]. If the methylene tetrahydrofolate reductase (MTHFR) gene C677T encodes a polymorphism variant of C/T, T/T or A/C, a lower proportion of dietary folate is converted to L-methylfolate in the folate cycle and less homocysteine is utilized to produce SAME with a corresponding decrease in neurotransmitter production, possibly leading to

depression (Figure 4). In children with a history of childhood trauma, the MTHFR T/T genotype carriers developed a more severe form of depression [32].

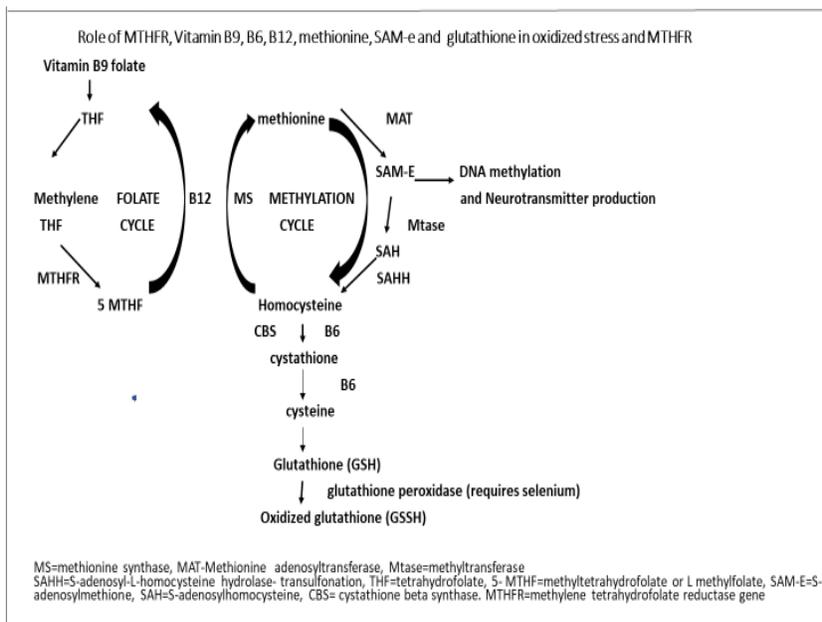


Figure 4

Thus, disturbances in the methylation cycle (by the siphoning off of glutathione to reduce oxidative stress) and in the folate cycle (by less absorption of Vitamin B12 and folate due to gut inflammation can lead to depression, which can be exacerbated by effects of the MTHFR genotype with a T allele (Figure 1).

1.4 Lifestyle/Experience Contributions to Inflammation (Figure 5).

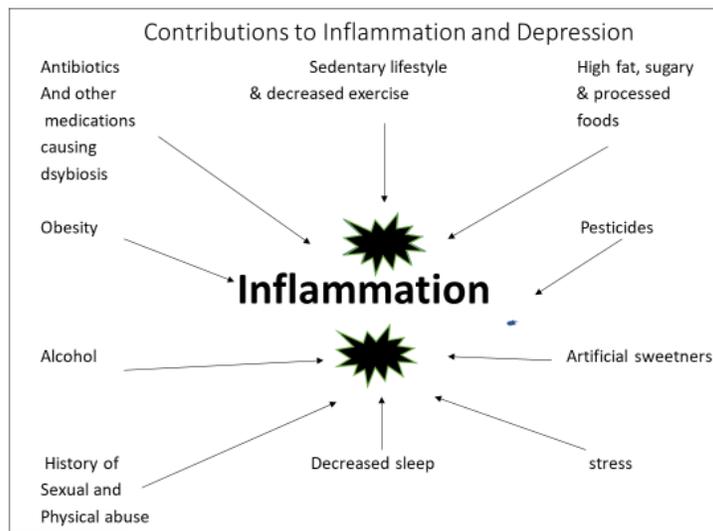


Figure 5

The usual American diet may cause dysbiosis. High-fat and high-sugar fast foods and processed food can increase LPS, leading to dysbiosis and increase of intestinal permeability and depression symptoms [33]. Processed foods, sugary beverages, sedentary lifestyle, and associated weight gain are associated with higher incidence of depression [9, 10]. In addition, obesity from processed foods has been associated with depression [6, 11, 34]. In fact, high fat foods, processed foods and sugar interfere with leptin and insulin signaling. Decreased leptin signaling impairs satiety and increases insulin resistance leading to obesity and subsequent depression [35]. The use of artificial sweeteners to avoid sugar are linked to glucose intolerance [29,36], may raise blood sugar, and favoring growth of bacteria associated with obesity, like Bacteroidetes and Firmicutes [29].

However, an inflammatory diet may be indicator of risk for depression regardless of high body mass index. In a study of the effects of an inflammatory diet and depression in children, data was prospectively collected over 10 years from the Avon Longitudinal Study of Parents and Children (ALSPAC) cohort (N= 6939) free from depression at baseline (age 8.5 years). An inflammatory pattern (IDP) score from a food frequency questionnaire was measured at 8.5 years and levels of inflammatory biomarkers, C-reactive protein and interleukin-6, at 9.5 years. At age 18 years, depression cases were diagnosed via the International Statistical Classification of Diseases, 10th Revision (ICD-10) and the Clinical Interview Schedule-Revised (CIS-R) depression score. Participants in the highest tertile of IDP score had 1.34 times the risk of developing depression compared to those in the lowest tertile (95% CI, 1.08-1.66; *P*-trend<0.01). In addition, the correlation of IDP tertiles and depression showed a marginal trend among participants who were not overweight or obese ($p < 0.10$) but not among participants who were overweight or obese [37]. Therefore, inflammatory diets may also increase the risk of depression in non-obese children. Levels of IL-6 and CRP were measured in non-fasting blood samples obtained in participants at age 9 years in this study [38]. Participants in the top third of IL-6 values compared with the bottom third at age 9 years were more likely to be depressed (CIS-R) at age 18 years (adjusted odds ratio [OR], 1.55; 95% CI, 1.13-2.14). Results using the Mood and Feelings Questionnaire (MFQ) were similar. Risks of psychotic events and of psychotic disorder at age 18 years were also increased with higher IL-6 levels at baseline (adjusted OR, 1.81; 95% CI, 1.01-3.28; and 2.40; 95% CI, 0.88-6.22, respectively). Thus, later interventions may be helpful but may not be able to remedy inflammation completely. Early intervention should be the gold standard to avoid inflammation and risk for depression and other psychiatric disorders.

The reduction in the American diet of fermented food (containing probiotics) has also decreased the bioavailability of mood-regulating B vitamins, magnesium, and zinc, (which are necessary for the production of neurotransmitters) and these deficits are linked to depression [29, 30].

Exposure to sexual and physical abuse during childhood as well as sedentary lifestyle increases the risk of severe obesity [39, 40]. Insufficient sleep can also increase obesity by decreasing glucose tolerance, insulin sensitivity, and leptin and increasing ghrelin [41, 42]. Decreased sleep can increase cortisol hyperarousal and inflammation. Adolescents and young adults (age 10-22) with insomnia symptoms and short sleep duration (<7 hours) had greater plasma c-reactive protein (CRP) levels than adolescents with insomnia symptoms and normal sleep duration (>7 hours) [43].

Adolescents often begin experimenting with alcohol in their teens and some become alcohol-dependent. One study demonstrated that some alcohol-dependent subjects developed gut “leakiness”,

associated with altered composition and activity of the gut microbiota and higher scores of depression, anxiety, and alcohol craving upon three weeks of abstinence [44].

Other modern factors that may lead to production of pro-inflammatory cytokines and increased gut permeability include pesticides [12]. Slow metabolizers (e.g., cytochrome 2D6) may not be able to metabolize pesticides, allowing them to accumulate to toxic levels causing inflammation and oxidative stress. Gut bacteria could be affected by pesticides, similar to certain medications like antibiotics [13]. In fact, in a study of over 2000 cases with depression (age 15-65), treatment with a single antibiotic course was associated with higher risk for depression, e.g., adjusted odds ratios were 1.23 for penicillins (95% CI, 1.18-1.29) and 1.25 (95% CI, 1.15-1.35) for quinolones [45]. Proton pump inhibitors (PPI) and antipsychotic medications are associated with a decrease in diversity of gut microbiome and increased susceptibility to *Clostridium difficile* infection [46]. One study revealed a decreased ratio of Bacteroidetes: Firmicutes in the gut microbiome shortly after chronic use of risperidone in children, with a corresponding increase in body mass index over time [47].

Thus, inflammation caused by the change in the American diet to high fat, sugary, and processed foods, obesity, sedentary lifestyle, lack of sleep, stress, a history of sexual and physical abuse, alcohol dependence, artificial sweeteners, pesticides, and medications, can all play a role in the disruption of the gut-brain axis and subsequent development of depression, and may be linked to refractory depression (See Figure 5).

1.5 Treatment Strategies

Obviously, simple (but hard to implement) changes in lifestyle and diet may help avoid or decrease depression.

1.6 Treatments Addressing Food and Vitamin/Mineral Deficiencies

Moving to fermented foods (containing probiotics) can increase the bioavailability of mood-regulating B vitamins. Assessing magnesium, vitamin D, selenium, and zinc levels and supplementing as indicated may also be helpful. A number of studies in adolescents have linked depression to deficiencies in these micronutrients.

1.6.1 Magnesium

Magnesium deficiency is linked to a dysregulation of the HPA axis and increased inflammation. Magnesium modulates mood by acting as an N-methyl-d-aspartate (NMDA) antagonist, supporting synthesis of serotonin, dopamine and noradrenalin, increasing Brain Derived Neurotrophic Factor (BDNF) expression, and improving the sleep-wake cycle. Magnesium is found in green leafy vegetables, some legumes, seeds, nuts, and whole grains [48]. One study of 180 pregnant adolescents found higher symptoms of depression in those with lower magnesium intake [49].

Since inadequate brain magnesium appears to reduce serotonin levels, and since anti-depressants have been shown to raise brain magnesium, magnesium supplementation or eating fermented foods that increase magnesium may be beneficial for depression [50].

1.6.2 Folate (Vitamin B9)

Folate (B9), after its conversion to L-methyl folate) is a co-factor for biosynthesis of SAMe. The latter is involved in the production of norepinephrine, dopamine and serotonin [51] (Figure 4). Foods containing vitamin B9 include lettuce, asparagus, spinach, broccoli, and oranges [31]. A study of 26 inpatient girls admitted with depression found serum levels of docosahexaenoic acid (DHA), arachidonic acid (AA), and folate significantly lower than those in a control group [52]. A cross-sectional study of over 6000 adolescents found folate intake inversely associated with depressive symptoms in both boys and girls [53]. In a study of ten adolescents with treatment-resistant depression (mean age 14.4 ± 2.8 years), 8 (80%) had a single mutation among the 2-methylene tetrahydrofolate reductase (MTHFR) gene variants evaluated (50% A1298 AC; 30% C677 CT), indicating reduced MTHFR activity. All had failed 3 antidepressant trials. When the 10 were prescribed adjunctive L-methylfolate (LM), 8 (80%) demonstrated improvement in depression, anxiety, and irritability [54].

1.6.3 Cobalamin (Vitamin B12) (Figure 4)

Like vitamin B9, B12 is involved in the synthesis of neurotransmitters. Considering the relationship between declining absorption of B12 and increasing depression in the elderly, it may be helpful to monitor B12 in youth with chronic malabsorption, like Celiac disease. Vitamin B12 is found in animal protein, such as, liver, salmon, yogurt and eggs [48]. A youth with severe B12 deficiency, depression, and psychotic symptoms demonstrated improvement 1-2 weeks after B12 injections [55].

1.6.4 Pyroxidine (Vitamin B6) (Figure 4)

Vitamin B6 is involved in the production of glutathione (Figure 2). It is found in poultry, fish, vegetables, eggs, and brown rice [48]. A cross-sectional study in 3,067 boys and 3,450 girls aged 12-15 found B-6 intake was inversely associated with depressive symptoms (score ≥ 16 on Center for Epidemiologic Studies Depression Scale) in both boys (OR [95% CI], 0.73 [0.54, 0.98]; p for trend = .02) and girls (OR [95% CI], 0.72 [0.56, 0.92]; p for trend = .002) [53].

1.6.5 Zinc

Zinc is a cofactor for >100 enzymes. Its deficiency results in increased glutamate, an excitatory molecule found in depression. Glutamate affects the HPA axis, increasing cortisol. Zinc acts as an antidepressant by antagonizing NMDA receptors and elevating BDNF in the hippocampus and cortical regions. Zinc is found in beans, nuts, red meat, oysters, crabs, lobsters, whole grains, and dairy products [48].

In a meta-analysis involving 17 studies with 1643 depressed and 804 control subjects (including young adults), peripheral blood zinc concentrations were approximately 1.85 $\mu\text{mol/L}$ lower in depressed subjects than controls. Depression was associated with a lower concentration of zinc in peripheral blood [49]. Zinc has been used successfully for augmentation in previously treatment-

resistant adults with depression and plays a role in producing neurotransmitters by helping convert dietary pyridoxine to the active form of B6, pyridoxal phosphate [50].

1.6.6 Calciferol (Vitamin D3)

In depression, 25(OH)D level was inversely associated with symptom severity, suggesting a dose-response gradient, and low vitamin D carried risk of having a depressive disorder at 2-year follow-up [39]. Foods containing Vitamin D are eggs, liver, salmon, milk (artificially added), oysters and shrimp but most vitamin D comes from the sun [48]. Vitamin D 3 deficiency has been linked to increasing levels of pro-inflammatory cytokines and insulin resistance [30]. Vitamin D is considered a neurohormone essential to brain development, and deficiencies have been linked to depression, bipolar disorder, schizophrenia, and autism [51, 56, 57]. A prospective study assessed Vitamin D levels and a Mood and Feelings Questionnaire in 2,750 youth (mean ages 9.8, 10.6, and 13.8 years). Higher levels of Vitamin D at 9.8 years predicted lower depressive symptoms at 13.8 years but not at 10.6 years, suggesting that puberty brings more sensitivity to this deficiency [58]. An open label study treated 48 adolescents with clinical depression and low vitamin D levels for 3 months (4000 IU/ day for one month, then 2000 IU/day for 2 months). The youth demonstrated improvements in self-rated depression scores ($p < .05$) on the Mood and Feelings Questionnaire-short version [59]; without a control group, it is difficult to interpret this result.

Thirty-four 18-70-year olds with DSM IV bipolar depression and Vitamin D insufficiency (< 30 ng/ml) were randomized to 5000 IU Vitamin D3/day vs. placebo for 12 weeks. Despite a greater rise in Vitamin D levels in the supplemented group, there was no significant difference in reduction of depressive symptoms. However, both groups' Vitamin D levels remained insufficient [60]. Therefore, higher dosages and/or longer treatment may have improved symptoms. In fact, a cross-sectional study in adults found that the risk of depression only began to decrease when Vitamin D levels were above 42 ng/ml [61]. Therefore, depression treatment may require higher levels along the line of claims that optimum levels should be between 50-80 ng/ml. In addition, whether vitamin D is taken with a fatty meal to promote absorption is an important clinical management issue.

1.6.7 Selenium

Selenium deficiency is associated with thyroid dysregulation, oxidative stress, and inflammation. Selenium is necessary for the conversion of GSH to GSSH to neutralize ROS (Figure 3). Selenium and zinc are necessary for the conversion of thyroxine (T4) to active triiodothyronine (T3). Selenium acts as an anti-depressant by modulating serotonin, dopamine and adrenaline and attenuating inflammation. Foods containing selenium include seafood, grains, meat, poultry, fish and eggs. Where the food was grown affects Se levels because of wide variation in regional soil levels [48].

More than 50% of depressed pregnant teenagers had an inadequate intake (excluding dietary supplement) of folate, vitamin A, vitamin E, iron, zinc, calcium, magnesium, and phosphorous. Additionally, $> 20\%$ of participants had an inadequate intake of thiamin, riboflavin, niacin, vitamin B6, vitamin B12, vitamin C, copper, and selenium [49].

In summary, Zinc, magnesium, B-vitamin, selenium, and Vitamin-D deficiencies should be considered in depressed youth. Blood levels should be monitored in unresponsive cases. Also, measuring deficits in the folate cycle like folate, vitamin B12 or methylmalonic acid (an early indicator of B12 deficiency), and checking MTHFR genotype may be helpful. Measuring deficiencies in the methylation cycle may also be helpful, which would include homocysteine, SAM-E and methylmalonic acid (Figure 3). A broadspectrum micronutrient supplement may be the most practical way to address the majority of the deficiencies/insufficiencies.

1.7 Treatment for Oxidative Stress

Lower levels of total and reduced glutathione (GSH) have been associated with later onset of bipolar disorder (BD). Although a low GSH/GSSH ratio level may be indicative of oxidative stress, a better indicator of oxidative stress, which damages mitochondria, may be serum superoxide dismutase (SOD). An increase in SOD, which neutralizes ROS found in oxidative stress, has been associated with BD [27]. SOD was significantly increased ($p < 0.001$) in manic and depressed BD compared to either controls or euthymic BD patients. The superoxide dismutase increase may be a compensatory mechanism in the acute phase of mania when oxidative stress is at its highest [62].

1.8 N-Acetylcysteine (Nac)

Administration of NAC, a cysteine prodrug, replenishes intracellular GSH levels. NAC is best known for its ability to counter acetaminophen (Tylenol) toxicity, and is a safe, well-tolerated antidote for cysteine/GSH deficiency. NAC is known to decrease oxidative stress by increasing glutathione and it modulates glutamate [63]. Oxidative stress and glutamate transmission are involved in both depression and Cannabis Use Disorder (CUD). Secondary analyses in an 8-week randomized controlled trial of N-acetylcysteine (NAC) for cannabis cessation found that the 74 adolescents with baseline depressive symptoms had significantly fewer positive urine cannabinoid tests than those without depressive symptoms. [64]. (see Figure 3). Perhaps decreasing oxidative stress indirectly influenced depression which may have decreased the urge to self-medicate with cannabis. In an RCT of adult bipolar patients 1000 mg of NAC twice a day resulted in a large decrease in depressive symptoms compared to the placebo group [65]. NAC seems to do more for BD depression than for mania.

1.9 Treatment to Address Inflammation

1.9.1 Essential Fatty Acids

Both omega-6 ($\Omega 6$) and omega-3 ($\Omega 3$) fatty acids are considered essential; they are precursors of pro-inflammatory and anti-inflammatory eicosanoids (prostaglandins, leukotrienes, thromboxanes), respectively. In the $\Omega 3$ series, eicosapentaenoic acid (EPA) provides anti-inflammatory cell signalers while docosahexaenoic acid (DHA) (and to some extent EPA) is needed for neuronal membranes. The balance of $\Omega 6$: $\Omega 3$ provides a “supply side” regulation of inflammation. Youth with mood disorders have higher ratios of omega-6 ($\Omega 6$) to omega-3 fatty acids ($\Omega 3$) than those without mood disorders. In a

seven-year follow-up of youth with a high risk for psychosis, a higher $\Omega 6:\Omega 3$ ratio at baseline predicted later mood disorders but not other psychiatric disorders [66].

A randomized pilot trial (N = 23) in 5-12-year old children found greater reduction of depressive and manic symptoms with a combination of $\Omega 3$ fatty acids and inositol than with inositol alone [67]. In 28 children with major depression, 70% of those receiving $\Omega 3$ at a 2:1 ratio of EPA:DHA [380-400 mg EPA; 180-200 mg DHA) but none receiving placebo enjoyed greater than 50% reduction in depressive symptoms. In a randomized comparison of $\Omega 6$ to $\Omega 3$ supplementation for depression in 11-17-year-olds, only omega-3 showed significant improvement, and this was confined to those with major depression rather than mixed anxiety & depression [68].

In the Omega-3 and Therapy Studies (OATS), 23 youth age 8-14 with bipolar disorder NOS and 72 with depression were assigned in a 2X2 design to $\Omega 3$ (1.6 g/day of EPA+DHA), psychoeducational psychotherapy (PEP) plus placebo matched to $\Omega 3$, $\Omega 3$ plus PEP, or placebo. In the bipolar study $\Omega 3$ showed more benefit for depression than placebo, both alone (d = .48) and combined with psychoeducational psychotherapy (PEP) (d = 1.7, p = .01) [69]. In the depression study, $\Omega 3$ alone (d = .42) and combined with PEP (d = .28) surpassed placebo in relief of depressive symptoms, but not significantly. However, fewer psychosocial stressors significantly moderated a more favorable depression response to $\Omega 3$, suggesting value for more biologically based depression rather than reactive depression. [70]. Also, those with higher BMI benefitted significantly more from $\Omega 3$ compared to placebo than those with lower BMI [71]. In the same two studies, an analysis of the pooled samples found significantly greater (p = 0.001, d = 0.70) improvement with $\Omega 3$ than placebo on a parent-rated composite scale of executive function [72].

Considering all available data, supplementation with up to a gram per day of mercury-free EPA+DHA seems advisable in treating child or adolescent depression. For safety and optimal utilization, antioxidant vitamins/minerals (possibly as broad spectrum micronutrients) should be given concomitantly.

1.9.2 Probiotics

Probiotic treatment has been shown to reduce the hyperactivity of the HPA axis [73]. In an RCT 66 patients hospitalized with mania were adjunctively given either *Lactobacillus rhamnosus* strain GG and *Bifidobacterium animalis* subsp. *lactis* strain Bb12 or placebo in a parallel two-group design. During the 24-week observation period there were a total of 24 rehospitalizations in the 33 individuals who received placebo and eight rehospitalizations in the 33 individuals who received the probiotics (z = 2.63, P = .009) [74]. Another study, [75] found that probiotic formulations of *Bifidobacterium longum* and *Lactobacillus helveticus* significantly decreased anxiety in humans. In a double-blind RCT [76] 40 adults with a DSM-IV diagnosis of MDD age 20-55 had significant beneficial effects on Beck Depression Inventory, insulin resistance, hs-CRP concentrations, and glutathione concentrations with *Lactobacillus acidophilus*, 2×10^9 Colony-Forming Units (CFU)/g, *Lactobacillus casei* 2×10^9 CFU/g, and *Bifidobacterium bifidum* 2×10^9 CFU/g for 8 weeks.

Combining probiotics with prebiotics seems even more beneficial. Prebiotics can lower LPS and increase satiety peptides (thus decreasing hunger and metabolic parameters associated with obesity)

[77]. Probiotics (Lactobacilli and Bifidobacterium) with prebiotics improved body weight, abdominal fat, and intestinal barrier function [78]. In a randomized trial [79] 110 depressed patients were randomly assigned to receive the probiotic (*Lactobacillus helveticus* and *Bifidobacterium longum*), prebiotic (galactooligosaccharide), or placebo for 8 weeks. Eighty one patients completed the study. Probiotic supplementation resulted in a significant decrease in BDI score (17.39 to 9.1) compared to the placebo (18.18 to 15.55) and prebiotic (19.72 to 14.14) supplementation ($p = 0.042$). The kynurenine/tryptophan ratio (an indicator of the IDO pathway) decreased significantly in the probiotic group compared to placebo after adjusting for serum isoleucine ($p = 0.048$).

Probiotics may decrease depression by decreasing inflammation and oxidative stress. In a meta-analysis probiotics supplementation resulted in significant reduction in the Hamilton Depression Rating Scale (HAM-D) and a reduction in C-reactive protein, IL-10, and malondialdehyde (an indicator of oxidative stress). However, changes in the Beck Depression Inventory, TNF- α , IL-6, nitric oxide (indicator of free radicals), glutathione, and total antioxidant capacity [80] were not significant.

Early interventions to change the course of dysbiosis may be helpful. Probiotic feeding during the early years modulated the gut microbiota 10 years later, which restrained excessive weight gain [81]. In a RCT, 75 infants were randomized to *Lactobacillus rhamnosus* or placebo during the first 6 months of life and were followed for 13 years. Gut microbiota was assessed at the ages of 3 wk, 3, 6, 12, 18, 24 mo, and 13 years. ADHD or autism was diagnosed in 6/35 (17.1%) of children in the placebo group and none in the probiotic group ($P = 0.008$). The mean (SD) numbers of *Bifidobacterium* species bacteria in feces had been lower during the first 6 mo of life in affected children 8.26 (1.24) log cells/g than in healthy children 9.12 (0.64) log cells/g; $P = 0.03$. Probiotics fed to infants decreased intestinal permeability as measured by lactulose/mannitol ratio [82]. Prospective larger studies are needed to determine if early use of probiotics may alleviate the risk of developing depression associated with “leaky gut”.

1.10 Supplements (Other Than Vitamins or Minerals) and Lifestyle Interventions that May Affect Inflammation, the Methylation Cycle, Folate Cycle and Cortisol Levels

1.10.1 Saffron

Saffron has effects similar to anti-depressants via serotonin effects. It also has anti-inflammatory and anti-oxidant effects. In a meta-analysis, consumption of saffron resulted in a significant reduction in Beck Depression Inventory (BDI) (11 studies --weighted mean difference (WMD): -4.86; 95% CI: -6.58, -3.14), Beck Anxiety Inventory (BAI) (5 studies) (WMD: -5.29; 95 % CI: -8.27, -2.31) and Pittsburgh Sleep Quality Index (PSQI) scores (3 studies) (WMD: -2.22; 95 % CI: -2.73, -1.72). It did not decrease C-reactive protein levels [83].

1.10.2 Adaptogens

Adaptogens are herbs used in Ayurvedic and Chinese medicine. They reduce oxidative stress by reducing the levels of nitrous oxide and help to normalize an upregulated HPA axis by unblocking the glucocorticoid receptor so that the normal homeostatic feedback process can occur [84].

In a RCT *Eleutherococcus senticosus* and lithium were compared to fluoxetine and lithium in 76 adolescents ages 12-17 with bipolar depression. Remission rates (51.4% vs 48.7% and response rates (67.6% vs 71.8%) were comparable for *E. senticosus* vs fluoxetine. Three of the adolescents on fluoxetine experienced a manic switch [85].

1.10.3 St John's Wort (*hypericum*; SJW)

St. John's Wort is known to modulate neurotransmitter levels and their receptors, including serotonin, norepinephrine, dopamine, γ -aminobutyric acid, and glutamate; modify inflammatory cytokines; inhibit cortisol production; modulate neuronal ionic conductance; elevate intracellular sodium concentration; and induce neurogenesis and neuroprotection. However, in the U.S. it is known to contain highly varied amounts of the active ingredient *hypericum* [86]. Batch-to-batch variability complicates reliable effects.

In an open trial [87] 33 youth with moderate to severe mood disorder (Child Depression Rating Scale-Revised (CDRS-R) >40) took 150-300 mg 3 times/day. Response criteria (CDRS-R <28) were met by 24% by week 1 and 83% by week 8. Another open label trial [88] using 300 mg 3 times/day had similar results but there was a 57% drop out rate due to side effects.

Without a control group, the results are hard to interpret but the rapid response rate and positive results suggests more studies are needed. However, clinicians should be aware that St John's Wort can cause serotonin syndrome, discontinuation syndrome, and sunlight sensitivity and induce cytochrome P450 isoenzymes CYP 3A4, 2C19 and 2C9. There is also concern that at high dosages it may cause seizures and mania [89].

1.10.4 S-adenosylmethionine (SAME)

Due to the fact that SAME is difficult to synthesize and breaks down easily when exposed to water, some formulations may not have detectable levels. SAME plays a role in the methylation cycle and is involved in neurotransmitter production (See Figure 3). An open trial in 3 youth with clinical depression using 600-1400 mg/day found all had a rapid response that persisted for 22 weeks [90]. Clinicians should be aware that it can have the same effects as tricyclics and psychostimulants and can induce mania. It needs further study.

1.10.5 Uridine

Uridine is a pyrimidine nucleoside, thought to impact glutamate. Pyrimidines are thought to have beneficial effects on cerebral phospholipid metabolism, catecholamine synthesis, and mitochondrial function all of which have been linked to depression. Seven bipolar adolescents treated with 500 mg/d for 6 weeks had significant decreases on the CDRS, from 65.6 to 27.2 [91]. It needs better study.

1.10.6 Meditation

Meditation may help normalize the hyperactivation of the HPA axis by decreasing vagal nerve stimulation [92]. In a study using yoga and meditation for 3 months there were increases in anti-

inflammatory cytokines like IL-10, decreases in the pro-inflammatory cytokine Interleukin-12, increases in Brain Derived Neurotrophic Factor (BDNF). BDNF is a key neurotrophin that promotes development, survival and plasticity of neurons in the central and peripheral nervous systems. There were clearly significant improvements in the Brief Symptom Inventory-18 scores, including the total score ($t_{.33}/ = 4.66$, $p < 0.0001$) as well as each of the subscores which included depressive ($t_{.33}/ = 2.84$, $p < 0.01$), anxious ($t_{.33}/ = 4.22$, $p < 0.0001$), and somatic ($t_{.33}/ = 4.66$, $p < 0.0001$) symptoms [93]. In a study of 58 individuals (age 19–50 years old) with Major Depression diagnosed with DSM-5 criteria, participants were randomly assigned to Yoga and meditation or a control group for 12 weeks. There was a significant decrease [difference between means, (95% CI)] in Beck Depression Index-II score change in value, time 1 and time 2 [-5.83 (-7.27 , -4.39), $p < 0.001$] and significant increase in BDNF (ng/ml) [5.48 (3.50 , 7.46), $p < 0.001$] after Yoga Meditation Lifestyle Intervention (YMLI) compared to control group. Among the mind-body communicative biomarkers, there was a significant increase in circulating dehydroepiandrosterone (DHEAS—an indication of reduced inflammation) and sirtuin 1 (used in repair of DNA) and a significant decrease in circulating cortisol and IL-6 (a pro-inflammatory cytokine) after YMLI compared to yoga group at baseline level and control group. A total of 12 weeks YMLI showed improvement in cellular health biomarkers that included - significant decrease in 8 Oxo-2'-deoxyguanosine (8OH2dG- a marker of DNA damage); significant increase in total anti-oxidant capacity (TAC) and decrease in ROS (markers of oxidative stress); and significant increase in telomerase activity (marker of telomere attrition) compared to control group (all $p < 0.05$) (Table 3). However, change in telomere length was not significant in both groups. Also, the control group showed significantly increased ROS and IL-6 levels compared to baseline ($P < 0.001$). As noted earlier an overactive HPA and increased cortisol is associated with depression. In this study, increased cortisol was associated with reductions in BDNF and increase in depression severity [94]

1.10.7 Exercise

Exercise can increase Peroxisome Proliferation Activated Receptor Gamma Coactivator 1 (PGC1 alpha) gene expression, which reduces synthesis and release of pro-inflammatory cytokines and decreases glutamatergic neurotoxicity [95]. The Depressed Adolescents Treated with Exercise (DATE) study randomized 30 non-medicated adolescents age 12-18 with DSM-IV-TR major depressive disorder. Thirty adolescents aged 12-18 years were randomized to either vigorous exercise (EXER) (>12 kg/kcal/week [KKW]) or a control stretching activity (< 4 KKW) for 12 weeks. By week 12, the exercise group had a 100% response rate (86% remission), whereas the stretch group response rate was 67% (50% remission) ($p = .02$) [96].

2. Discussion/Conclusions

CIM interventions are appealing to parents and youth because of the perception that they are safer and will avoid stigma and possibly the need to see a psychiatrist regularly. However, they are not without risks, especially if parents or adolescents do not alert a clinician about use of over-the-counter supplements. There are many drug-supplement interactions. Most supplements and herbs have not been reviewed by the FDA before marketing and do not have FDA-approved good manufacturing

procedures in place. Products may vary in amounts of active ingredients, and patients often take supplements or herbs without knowing established recommended dosages. The purity of supplements has been examined by Consumerlabs.Com, where clinicians may obtain more information. However, clinicians must be aware that some companies pay sites to recommend their products. Clinicians need to be aware of patient use of supplements and expand their knowledge of commonly used CIM treatments, keeping in mind that herbs, if they work, are crude drugs without good quality control.

Research increasingly recognizes that there may be abnormalities and individual variations in biological systems involving the gut-brain axis, inflammation, oxidative stress, folate and methylation cycles and cortisol levels that prevent conventional established interventions from being universally effective. CIM research, although in its infancy, is beginning to recognize that certain supplements and other interventions used adjunctively, targeting systems associated with these abnormalities, may decrease depressive symptoms. Addressing problems with inflammation in the gut, oxidative stress, mineral and vitamin deficiencies, diet, abnormalities in the folate and methylation cycles, sleep, sedentary lifestyle, obesity, and stress may help reduce the severity of depression, particularly if conventional interventions have not worked. Avoiding medications that disrupt the gut microbiome or that decrease B12 and CoQ 10 (like metformin) or proton pump inhibitors (which decrease B12) may reduce the severity of depression. When such drugs cannot be avoided, prophylactic B12 supplement should be considered. Using supplements like St. John's Wort (to increase neurotransmitters, decrease cortisol, and modify inflammatory cytokines), adaptogens (which allow the HPA axis to normalize and reduce oxidative stress), and saffron (which affects serotonin and acts as an anti-oxidant and anti-inflammatory) may act as adjuncts in the treatment of refractory depression. The best interventions seem to include the addition of omega 3 fatty acids. Normalizing the gut with probiotics may also prove to be useful. Much more research is needed. One thing is clear: conventional interventions do not always work, and when they don't, other interventions should be considered.

Although it is imperative to decrease inflammation, once the inflammatory process becomes severe nutritional interventions may no longer be effective. E.g., In a RCT of 1950 patients, a protocol-guided individualized nutritional support program was compared to a standard hospital food control group. Baseline measurement of C-reactive protein (CRP) divided the sample into low, moderate and high inflammation (<10mg/L, 10-100 mg/L and >100 mg/l, respectively). Compared to the control group, patients receiving nutritional support showed a significant reduction in 30-day mortality except the subgroup with high baseline inflammation, which showed no benefit of nutritional support [97]. Therefore, waiting to treat after inflammation occurs may lose efficacy once inflammation becomes severe.

While awaiting further research, there are some things clinicians can reasonably apply now. Figure 6 and 7 suggests a therapeutic decision-flow algorithm reflecting the current state of knowledge.

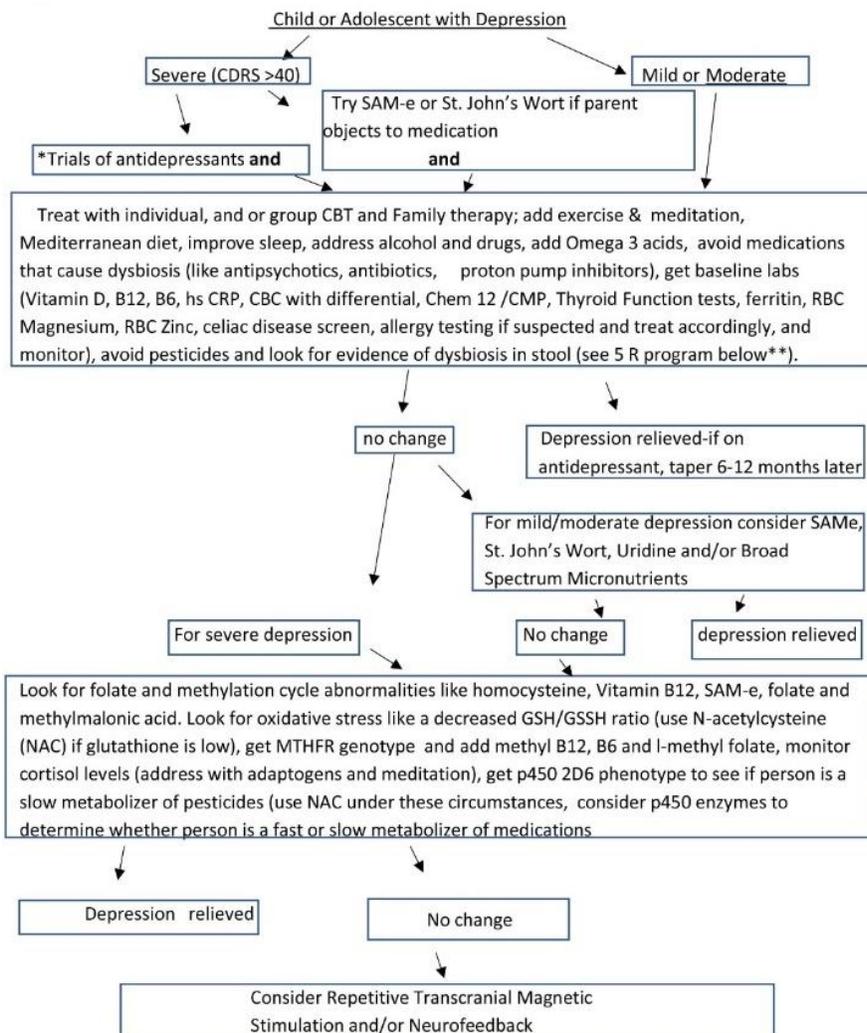


Figure 6

**** 5 R's [98]**

- 1. Remove-** allergic foods, parasites or other bad bugs such as bacteria or yeast. This might involve using an "elimination diet" to pick up sensitivities to certain foods
- 2. Replace:** add back things like digestive enzymes, hydrochloric acid and bile acids that are required for proper digestion.
- 3. Reinoculate:** Use probiotic foods or supplements that contain *bifidobacteria* and *lactobacillus* species, and use high-soluble-fiber foods or prebiotic supplement that increases short chain fatty acids (SCFA) and maintains gut integrity.
- 4. Repair:** Help repair the gut by adding key nutrients (like zinc) or antioxidants (like vitamins A, C, and E), L- glutamine and omega 3 fatty acids if not already added.
- 5. Rebalance:** Pay attention to lifestyle choices – sleep, exercise and stress can all affect the GI tract. (See Figure 2)

Figure 7 [98]

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Competing Interests

The authors have declared that no competing interests exist.

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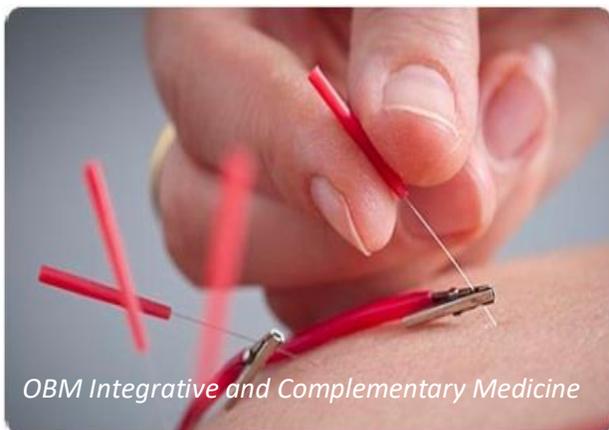
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